

**Corrected**

# In the United States Court of Federal Claims

OFFICE OF SPECIAL MASTERS

No. 18-1454V

UNPUBLISHED

LISA SCHWARTZ,

Petitioner,

v.

SECRETARY OF HEALTH AND  
HUMAN SERVICES,

Respondent.

Chief Special Master Corcoran

Filed: February 17, 2021

Special Processing Unit (SPU);  
Damages Decision Based on Proffer;  
Influenza (Flu) Vaccine; Guillain-  
Barre Syndrome (GBS)

*Ronald Craig Homer, Conway, Homer, P.C., Boston, MA, for petitioner.*

*Sarah Christina Duncan, U.S. Department of Justice, Washington, DC, for respondent.*

## **DECISION AWARDING DAMAGES<sup>1</sup>**

On September 21, 2018, Lisa Schwartz filed a petition for compensation under the National Vaccine Injury Compensation Program, 42 U.S.C. §300aa-10, *et seq.*<sup>2</sup> (the “Vaccine Act”). Petitioner alleges that she suffered Guillain-Barré Syndrome (GBS) resulting from the influenza vaccine she received on September 28, 2015. Petition at 1, ¶¶ 1, 3. The case was assigned to the Special Processing Unit of the Office of Special Masters.

On November 20, 2020, a ruling on entitlement was issued, finding Petitioner entitled to compensation for her GBS. On February 17, 2021, Respondent filed a proffer on award of compensation (“Proffer”) indicating Petitioner should be awarded all items of compensation set forth in the life care plan and illustrated by the chart attached at Tab A, \$23,284.24 for her lost earnings, \$150,000.00 for her actual and projected pain and

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<sup>1</sup> Because this unpublished decision contains a reasoned explanation for the action in this case, I am required to post it on the United States Court of Federal Claims' website in accordance with the E-Government Act of 2002. 44 U.S.C. § 3501 note (2012) (Federal Management and Promotion of Electronic Government Services). **This means the decision will be available to anyone with access to the internet.** In accordance with Vaccine Rule 18(b), Petitioner has 14 days to identify and move to redact medical or other information, the disclosure of which would constitute an unwarranted invasion of privacy. If, upon review, I agree that the identified material fits within this definition, I will redact such material from public access.

<sup>2</sup> National Childhood Vaccine Injury Act of 1986, Pub. L. No. 99-660, 100 Stat. 3755. Hereinafter, for ease of citation, all “§” references to the Vaccine Act will be to the pertinent subparagraph of 42 U.S.C. § 300aa (2012).

suffering, and \$17,725.52 to satisfy a Commonwealth of Pennsylvania Department of Human Services Medicaid lien. Proffer at 1-2. In the Proffer, Respondent represented that Petitioner agrees with the proffered award. *Id.* Based on the record as a whole, I find that Petitioner is entitled to an award as stated in the Proffer.

Pursuant to the terms stated in the attached Proffer, **I award Petitioner the following compensation:**

- 1. A lump sum payment of \$183,034.18, representing compensation for life care expenses expected to be incurred during the first year after judgment (\$9,749.94), lost earnings (\$23,284.24), and pain and suffering (\$150,000.00) in the form of a check payable to Petitioner, Lisa Schwartz.**
- 2. A lump sum payment of \$17,725.52, representing compensation for satisfaction of a Commonwealth of Pennsylvania Department of Human Services Medicaid lien, payable jointly to petitioner and Commonwealth of Pennsylvania Department of Human Services, and mailed to:**

Commonwealth of Pennsylvania  
Bureau of Program Integrity  
Division of Third Party Liability  
Recovery Section  
P.O. Box 8486  
Harrisburg, PA 17105-8486  
CIS #: 540287729  
Attn: Jessica L. Frederick

Petitioner agrees to endorse this payment to Commonwealth of Pennsylvania Department of Human Services.

- 3. An amount sufficient to purchase an annuity contract, subject to the conditions described in the Proffer, that will provide payments for the life care items contained in the life care plan, as illustrated by the chart at Tab A, beginning with compensation for Year Two (on the first anniversary of the date of the judgment) and all subsequent years, paid to the life insurance company from which the annuity will be purchased.**

This amount represents compensation for all damages that would be available under § 15(a).

The clerk of the court is directed to enter judgment in accordance with this decision.<sup>3</sup>

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<sup>3</sup> Pursuant to Vaccine Rule 11(a), entry of judgment can be expedited by the parties' joint filing of notice renouncing the right to seek review.

**IT IS SO ORDERED.**

**s/Brian H. Corcoran**  
Brian H. Corcoran  
Chief Special Master

**IN THE UNITED STATES COURT OF FEDERAL CLAIMS  
OFFICE OF SPECIAL MASTERS**

_____	)	
LISA SCHWARTZ,	)	
	)	
Petitioner,	)	
	)	No. 18-1454V
v.	)	Chief Special Master Corcoran
	)	ECF
SECRETARY OF HEALTH AND HUMAN	)	
SERVICES,	)	
	)	
Respondent.	)	
_____	)	

**RESPONDENT’S PROFFER ON AWARD OF COMPENSATION**

On September 21, 2018, Lisa Schwartz (“petitioner”) filed a petition for compensation under the National Childhood Vaccine Injury Act of 1986, 42 U.S.C. §§ 300aa-1 to -34 (“Vaccine Act” or “Act”), as amended, alleging that she suffered Guillain-Barré Syndrome (“GBS”) resulting from an influenza (“flu”) vaccination she received on September 28, 2015. Petition at 1. On August 26, 2019, petitioner amended her petition and specifically alleged a Table injury for GBS. Amended Petition at 1 n.2. On November 19, 2020, the Secretary of Health and Human Services (“respondent”) filed his Rule 4(c) Report conceding that petitioner is entitled to compensation in this case, indicating that petitioner has satisfied the criteria set forth in the recently revised Vaccine Table Injury Table and the Qualifications and Aids to Interpretation, which afford petitioner a presumption of causation if the onset of GBS occurs between three and forty-two days after a seasonal flu vaccination and there is no apparent alternative cause. ECF No. 81. On November 20, 2020, the Court issued a Ruling on Entitlement finding petitioner entitled to compensation. ECF No. 83.

**I. Items of Compensation**

A. Life Care Items

Respondent engaged life care planner Linda Curtis, RN MS, CNLCP, CCM, to provide an estimation of petitioner's future vaccine-injury related needs. For the purposes of this proffer, the term "vaccine related" is as described in the respondent's Rule 4(c) Report. All items of compensation identified in the life care plan are supported by the evidence, and are illustrated by the chart entitled Appendix A: Items of Compensation for Lisa Schwartz, attached hereto as Tab A. Respondent proffers that petitioner should be awarded all items of compensation set forth in the life care plan and illustrated by the chart attached at Tab A. Petitioner agrees.

B. Lost Earnings

The parties agree that based upon the evidence of record, Lisa Schwartz has suffered a loss of earnings. Therefore, respondent proffers that petitioner should be awarded lost earnings as provided under the Vaccine Act, 42 U.S.C. § 300aa-15(a)(3)(A). Respondent proffers that the appropriate award for Lisa Schwartz's lost earnings is \$23,284.24. Petitioner agrees.

C. Pain and Suffering

Respondent proffers that petitioner should be awarded \$150,000.00 in actual and projected pain and suffering. This amount reflects that any award for projected pain and suffering has been reduced to net present value. See 42 U.S.C. § 300aa-15(a)(4). Petitioner agrees.

D. Medicaid Lien

Respondent proffers that Lisa Schwartz should be awarded funds to satisfy a Commonwealth of Pennsylvania Department of Human Services Medicaid lien in the amount of \$17,725.52, which represents full satisfaction of any right of subrogation, assignment, claim, lien, or cause of action the Commonwealth of Pennsylvania Department of Human Services may

have against any individual as a result of any Medicaid payments the Commonwealth of Pennsylvania Department of Human Services has made to or on behalf of Lisa Schwartz from the date of her eligibility for benefits through the date of judgment in this case as a result of her vaccine-related injury suffered on or about September 28, 2015, under Title XIX of the Social Security Act.

**II. Form of the Award**

The parties recommend that the compensation provided to petitioner should be made through a combination of lump sum payments and future annuity payments as described below, and request that the Chief Special Master's decision and the Court's judgment award the following:<sup>1</sup>

A. A lump sum payment of \$183,034.18, representing compensation for life care expenses expected to be incurred during the first year after judgment (\$9,749.94), lost earnings (\$23,284.24), and pain and suffering (\$150,000.00), in the form of a check payable to petitioner, Lisa Schwartz.

B. A lump sum payment of \$17,725.52, representing compensation for satisfaction of a Commonwealth of Pennsylvania Department of Human Services Medicaid lien, payable jointly to petitioner and Commonwealth of Pennsylvania Department of Human Services, and mailed to:

Commonwealth of Pennsylvania  
Bureau of Program Integrity  
Division of Third Party Liability  
Recovery Section  
P.O. Box 8486  
Harrisburg, PA 17105-8486  
CIS #: 540287729  
Attn: Jessica L. Frederick

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<sup>1</sup> Should petitioner die prior to entry of judgment, the parties reserve the right to move the Court for appropriate relief. In particular, respondent would oppose any award for future medical expenses, future lost earnings, and future pain and suffering.

Petitioner agrees to endorse this payment to Commonwealth of Pennsylvania Department of Human Services.

C. An amount sufficient to purchase an annuity contract,<sup>2</sup> subject to the conditions described below, that will provide payments for the life care items contained in the life care plan, as illustrated by the chart at Tab A, attached hereto, paid to the life insurance company<sup>3</sup> from which the annuity will be purchased.<sup>4</sup> Compensation for Year Two (beginning on the first anniversary of the date of judgment) and all subsequent years shall be provided through respondent's purchase of an annuity, which annuity shall make payments directly to petitioner, Lisa Schwartz, only so long as petitioner is alive at the time a particular payment is due. At the Secretary's sole discretion, the periodic payments may be provided to petitioner in monthly, quarterly, annual or other installments. The "annual amounts" set forth in the chart at Tab A describe only the total yearly sum to be paid to petitioner and do not require that the payment be made in one annual installment.

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<sup>2</sup> In respondent's discretion, respondent may purchase one or more annuity contracts from one or more life insurance companies.

<sup>3</sup> The Life Insurance Company must have a minimum of \$250,000,000 capital and surplus, exclusive of any mandatory security valuation reserve. The Life Insurance Company must have one of the following ratings from two of the following rating organizations:

- a. A.M. Best Company: A++, A+, A+g, A+p, A+r, or A+s;
- b. Moody's Investor Service Claims Paying Rating: Aa3, Aa2, Aa1, or Aaa;
- c. Standard and Poor's Corporation Insurer Claims-Paying Ability Rating: AA-, AA, AA+, or AAA;
- d. Fitch Credit Rating Company, Insurance Company Claims Paying Ability Rating: AA-, AA, AA+, or AAA.

1. Growth Rate

Respondent proffers that a four percent (4%) growth rate should be applied to all non-medical life care items, and a five percent (5%) growth rate should be applied to all medical life care items. Thus, the benefits illustrated in the chart at Tab A that are to be paid through annuity payments should grow as follows: four percent (4%) compounded annually from the date of judgment for non-medical items, and five percent (5%) compounded annually from the date of judgment for medical items. Petitioner agrees.

2. Life-contingent annuity

Petitioner will continue to receive the annuity payments from the Life Insurance Company only so long as she, Lisa Schwartz, is alive at the time that a particular payment is due. Written notice shall be provided to the Secretary of Health and Human Services and the Life Insurance Company within twenty (20) days of Lisa Schwartz's death.

3. Guardianship

Petitioner is a competent adult. Evidence of guardianship is not required in this case.

**III. Summary of Recommended Payments Following Judgment**

A.	Lump Sum paid to petitioner, Lisa Schwartz:	<b>\$183,034.18</b>
B.	Medicaid lien:	<b>\$ 17,725.52</b>
C.	An amount sufficient to purchase the annuity contract described above in section II.C.	

Respectfully submitted,

BRIAN M. BOYNTON  
Acting Assistant Attorney General

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<sup>4</sup> Petitioner authorizes the disclosure of certain documents filed by the petitioner in this case consistent with the Privacy Act and the routine uses described in the National Vaccine Injury Compensation Program System of Records, No. 09-15-0056.

C. SALVATORE D’ALESSIO  
Acting Director  
Torts Branch, Civil Division

HEATHER L. PEARLMAN  
Acting Deputy Director  
Torts Branch, Civil Division

ALEXIS B. BABCOCK  
Assistant Director  
Torts Branch, Civil Division

s/ Sarah C. Duncan  
SARAH C. DUNCAN  
Trial Attorney  
Torts Branch, Civil Division  
U.S. Department of Justice  
P.O. Box 146  
Benjamin Franklin Station  
Washington, D.C. 20044-0146  
Tel: (202) 514-9729  
Email: sarah.c.duncan@usdoj.gov

Dated: February 17, 2021

## Appendix A: Items of Compensation for Lisa Schwartz

ITEMS OF COMPENSATION	G.R.			Lump Sum	Compensation
				Compensation	Compensation
				Year 1	Years 2-Life
				2021	2022-Life
Medicare Part B Premium	5%		M	1,782.00	1,782.00
Medicare Part B Deductible	5%			203.00	203.00
Medicare Part D	5%		M	1,212.94	1,212.94
HHA/Attendant Care	4%		M	6,552.00	6,552.00
Lost Earnings				23,284.24	
Pain and Suffering				150,000.00	
Commonwealth of Pennsylvania Lien				17,725.52	
Annual Totals				200,759.70	9,749.94

Note: Compensation Year 1 consists of the 12 month period following the date of judgment.

Compensation Year 2 consists of the 12 month period commencing on the first anniversary of the date of judgment.

As soon as practicable after entry of judgment, respondent shall make the following payment to petitioner for Year 1 life care expenses (\$9,749.94), lost earnings (\$23,284.24), and pain and suffering (\$150,000.00): \$183,034.18.

As soon as practicable after entry of judgment, respondent shall make the following payment jointly to petitioner and the Commonwealth of Pennsylvania Department of Human Services, as reimbursement of the Commonwealth's lien: \$17,725.52.

Annual amounts payable through an annuity for future Compensation Years follow the anniversary of the date of judgment.

Annual amounts shall increase at the rates indicated above in column G.R., compounded annually from the date of judgment.

Items denoted with an "M" payable in twelve monthly installments totaling the annual amount indicated.