

# In the United States Court of Federal Claims

## OFFICE OF SPECIAL MASTERS

No. 13-965V

Filed: March 8 2016

* * * * *		UNPUBLISHED
LAWR-ALEA WALTON, <i>on behalf of</i>	*	
A.W., <i>a minor,</i>	*	
	*	
Petitioner,	*	Special Master Gowen
	*	
v.	*	Joint Stipulation on Damages;
	*	Influenza (“Flu”) Vaccine;
SECRETARY OF HEALTH	*	Narcolepsy; Cataplexy
AND HUMAN SERVICES,	*	
	*	
Respondent.	*	
	*	
* * * * *		

Karl J. Protil, Jr., Shulman, Rogers, et al., Potomac, MD, for petitioner.  
Michael P. Milmo, U.S. Department of Justice, Washington, D.C., for respondent.

### **DECISION ON JOINT STIPULATION<sup>1</sup>**

On December 6, 2013, Lawr-Alea Walton (“petitioner”) filed a petition on behalf of A.W., a minor, pursuant to the National Vaccine Injury Compensation Program.<sup>2</sup> 42 U.S.C. §§ 300aa-1 to -34 (2012). Petitioner alleged that, as a result of receiving an influenza (“flu”) vaccination on October 11, 2010, A.W. developed narcolepsy and cataplexy. Petition at Preamble. Further, petitioner alleged that A.W. experienced residual effects of the injury for more than six months. *Id.* at ¶ 17.

---

<sup>1</sup> Because this decision contains a reasoned explanation for the undersigned’s action in this case, the undersigned intends to post this ruling on the website of the United States Court of Federal Claims, in accordance with the E-Government Act of 2002, 44 U.S.C. § 3501 note (2012) (Federal Management and Promotion of Electronic Government Services). As provided by Vaccine Rule 18(b), each party has 14 days within which to request redaction “of any information furnished by that party: (1) that is a trade secret or commercial or financial in substance and is privileged or confidential; or (2) that includes medical files or similar files, the disclosure of which would constitute a clearly unwarranted invasion of privacy.” Vaccine Rule 18(b).

<sup>2</sup> The National Vaccine Injury Compensation Program is set forth in Part 2 of the National Childhood Vaccine Injury Act of 1986, Pub. L. No. 99-660, 100 Stat. 3755, codified as amended, 42 U.S.C. §§ 300aa-1 to -34 (2012) (Vaccine Act or the Act). All citations in this decision to individual sections of the Vaccine Act are to 42 U.S.C.A. § 300aa.

On March 4, 2016, the parties filed a stipulation in which they state that a decision should be entered awarding compensation. Respondent denies that the flu vaccine caused A.W. to suffer narcolepsy and cataplexy or any other injury or her current condition. Stipulation at ¶ 6. Nevertheless, the parties agree to the joint stipulation, attached hereto as Appendix A. The undersigned finds the stipulation reasonable and adopts it as the decision of the Court in awarding damages, on the terms set forth therein.

The parties stipulate that petitioner shall receive the following in compensation:

- (1) **A lump sum of \$46,226.96, in the form of a check payable to petitioner as guardian/conservator of the estate of A.W. for the benefit of A.W. This amount represents compensation for first year life care expenses (\$8,729.96), and pain and suffering (\$37,500.00).**
- (2) **A lump sum of \$3,000.00, in the form of a check payable to petitioner, Lawr-Alea Walton. This amount represents compensation for past unreimbursable expenses.**
- (3) **An amount sufficient to purchase the annuity contract described in paragraph 10 of the stipulation, paid to the life insurance company from which the annuity will be purchased.**

Id. at ¶ 8.

The undersigned approves the requested amount for petitioner's compensation. Accordingly, the clerk of the court **SHALL ENTER JUDGMENT** in accordance with the terms of the parties' stipulation.<sup>3</sup>

**IT IS SO ORDERED.**

s/Thomas L. Gowen  
Thomas L. Gowen  
Special Master

---

<sup>3</sup> Pursuant to Vaccine Rule 11(a), entry of judgment is expedited by the parties' joint filing of notice renouncing the right to seek review.

IN THE UNITED STATES COURT OF FEDERAL CLAIMS

OFFICE OF SPECIAL MASTERS

LAWR-ALEA WALTON, on behalf of	)	
AUTUMN WALTON,	)	
	)	
Petitioner,	)	
v.	)	No. 13-965V
	)	Special Master Gowen
SECRETARY OF HEALTH AND HUMAN	)	
SERVICES,	)	
	)	
Respondent,	)	

STIPULATION

The parties hereby stipulate to the following matters:

1. On behalf of her daughter, Autumn Walton, petitioner filed a petition for vaccine compensation under the National Vaccine Injury Compensation Program, 42 U.S.C. §300aa-10 to 34 (the "Vaccine Program"). The petition seeks compensation for injuries allegedly related to Autumn Walton's receipt of the influenza ("flu") vaccine, which vaccine is contained in the Vaccine Injury Table (the "Table"), 42 C.F.R. § 100.3 (a).
2. Autumn Walton received her flu immunization on October 11, 2010.
3. The vaccine was administered within the United States.
4. Petitioner alleges that Autumn Walton suffered narcolepsy and cataplexy as a result of receiving the flu vaccine.
5. Petitioner represents that there has been no prior award or settlement of a civil action for damages on behalf of Autumn Walton as a result of her condition.
6. Respondent denies that the flu vaccine caused Autumn Walton to suffer narcolepsy and cataplexy or any other injury or her current condition.

7. Maintaining their above-stated positions, the parties nevertheless now agree that the issues between them shall be settled and that a decision should be entered awarding the compensation described in paragraph 8 of this Stipulation.

8. As soon as practicable after an entry of judgment reflecting a decision consistent with the terms of this Stipulation, and after petitioner has filed an election to receive compensation pursuant to 42 U.S.C. § 300aa-21(a)(1), the Secretary of Health and Human Services will issue the following vaccine compensation payments:

a. A lump sum of \$46,226.96, which amount represents compensation for first year life care expenses (\$8,726.96), pain and suffering (\$37,500.00), in the form of a check payable to petitioner as guardian(s)/conservator(s) of the estate of Autumn Walton for the benefit of Autumn Walton. No payments shall be made until petitioner provides respondent with documentation establishing that she has been appointed as the guardian(s)/conservator(s) of Autumn Walton's estate:

b. A lump sum of \$3,000.00, which amount represents compensation for past unreimbursable expenses, in the form of a check payable to petitioner, Lawr-Alea Walton;

c. An amount sufficient to purchase the annuity contract described in paragraph 10 below, paid to the life insurance company from which the annuity will be purchased (the "Life Insurance Company").

9. The Life Insurance Company must have a minimum of \$250,000,000.00 capital and surplus, exclusive of any mandatory security valuation reserve. The Life Insurance Company must have one of the following ratings from two of the following rating organizations:

a. A.M. Best Company: A++, A+, A+g, A+p, A+r, or A+s;

b. Moody's Investor Service Claims Paying Rating: Aa3, Aa2, Aa1, or Aaa;

c. Standard and Poor's Corporation Insurer Claims-Paying Ability Rating: AA-, AA, AA+, or AAA;

d. Fitch Credit Rating Company, Insurance Company Claims Paying Ability Rating: AA-, AA, AA+, or AAA.

10. The Secretary of Health and Human Services agrees to purchase an annuity contract

from the Life Insurance Company for the benefit of Autumn Walton, pursuant to which the Life Insurance Company will agree to make payments periodically to petitioner, as the court-appointed guardian(s)/conservator(s) of the estate of Autumn Walton for the following items of compensation:

- a. For future unreimbursable BCBS Deductible, BCBS Rx, Maximum-Out-of-Pocket, and Affordable Care Act Deductible expenses, beginning on the first anniversary of the date of judgment, an annual amount of \$2,400.00 to be paid up to the anniversary of the date of judgment in year 2029. Then, beginning on the anniversary of the date of judgment in year 2029, an annual amount of \$5,250.00 to be paid up to the anniversary of the date of judgment in year 2068, all amounts increasing at the rate of five percent (5%), compounded annually from the date of judgment.
- b. For future unreimbursable Medicare Part B Deductible, Pediatric Care, Pulmonology/Sleep Study expenses, beginning on the first anniversary of the date of judgment, an annual amount of \$165.00 to be paid up to the anniversary of the date of judgment in year 2022. Then, beginning on the anniversary of the date of judgment in year 2022, an annual amount of \$115.00 to be paid up to the anniversary of the date of judgment in year 2025. Then, beginning on the anniversary of the date of judgment in year 2025, an annual amount of \$75.00 to be paid up to the anniversary of the date of judgment in year 2029. Thereafter, beginning on the anniversary of the date of judgment in year 2068, an annual amount of \$286.00 to be for the remainder of Autumn Walton's life, all amounts increasing at the rate of five percent (5%), compounded annually from the date of judgment.
- c. For future unreimbursable Medicare Part D expenses, beginning on the anniversary of the date of judgment in year 2068, an annual amount of \$5,121.89 to be paid for the remainder of Autumn Walton's life, all amounts increasing at the rate of five percent (5%), compounded annually from the date of judgment.
- d. For future unreimbursable Depends expenses, beginning on the first anniversary of the date of judgment, an annual amount of \$171.96 to be paid up to the anniversary of the date of judgment in year 2022, increasing at the rate of three percent (3%), compounded annually from the date of judgment.
- e. For future unreimbursable Counseling expenses, beginning on the first anniversary of the date of judgment, an annual amount of \$180.00 to be paid up to the anniversary of the date of judgment in year 2023. Then, beginning on the anniversary of the date of judgment in year 2027, an annual amount of \$180.00 to be paid up to the anniversary of the date of judgment in year 2028, all amounts increasing at the rate of three percent (3%), compounded annually from the date of judgment.

f. For future unreimbursable Tutoring expenses, beginning on the first anniversary of the date of judgment, an annual amount of \$3,120.00 to be paid up to the anniversary of the date of judgment in year 2022, increasing at the rate of three percent (3%), compounded annually from the date of judgment.

g. For future unreimbursable Vocational Counseling expenses, beginning on the anniversary of the date of judgment in year 2021, an annual amount of \$2,640.00 to be paid up to the anniversary of the date of judgment in year 2022, increasing at the rate of three percent (3%), compounded annually from the date of judgment.

h. For future unreimbursable Case Management expenses, beginning on the first anniversary of the date of judgment, an annual amount of \$1,320.00 to be paid up to the anniversary of the date of judgment in year 2023, increasing at the rate of three percent (3%), compounded annually from the date of judgment.

At the sole discretion of the Secretary of Health and Human Services, the periodic payments may be provided to the petitioner in monthly, quarterly, annual or other installments. The "annual amounts" set forth above describe only the total yearly sum to be paid to the petitioner and do not require that the payment be made in one annual installment. The petitioner will continue to receive the annuity payments from the Life Insurance Company only so long as Autumn Walton is alive at the time that a particular payment is due. Written notice to the Secretary of Health and Human Services, and the Life Insurance Company, shall be provided within twenty (20) days of Autumn Walton's death.

11. The annuity contract will be owned solely and exclusively by the Secretary of Health and Human Services and will be purchased as soon as practicable following the entry of a judgment in conformity with this Stipulation. The parties stipulate and agree that the Secretary of Health and Human Services and the United States of America are not responsible for the payment of any sums other than the amounts set forth in paragraph 8 herein and the amounts awarded pursuant to paragraph 12 herein, and that they do not guarantee or insure any of the future annuity payments. Upon the purchase of the annuity contract, the Secretary of Health and

Human Services and the United States of America are released from any and all obligations with respect to future annuity payments.

12. As soon as practicable after the entry of judgment on entitlement in this case, and after petitioner has filed both a proper and timely election to receive compensation pursuant to 42 U.S.C. § 300aa-21(a)(1), and an application, the parties will submit to further proceedings before the special master to award reasonable attorneys' fees and costs incurred in proceeding upon this petition.

13. Petitioner and her attorney represent that compensation to be provided pursuant to this Stipulation is not for any items or services for which the Program is not primarily liable under 42 U.S.C. § 300aa-15(g), to the extent that payment has been made or can reasonably be expected to be made under any State compensation programs, insurance policies, Federal or State health benefits programs (other than Title XIX of the Social Security Act (42 U.S.C. § 1396 et seq.)), or by entities that provide health services on a pre-paid basis.

14. Payments made pursuant to paragraph 8 and any amounts awarded pursuant to paragraph 12 of this Stipulation will be made in accordance with 42 U.S.C. § 300aa-15(i), subject to the availability of sufficient statutory funds.

15. The parties and their attorneys further agree and stipulate that, except for any award for attorneys' fees and litigation costs, the money provided pursuant to this Stipulation will be used solely for the benefit of Autumn Walton as contemplated by a strict construction of 42 U.S.C. §300aa-15(a) and (d), and subject to the conditions of 42 U.S.C. § 300aa-15(g) and (h).

16. Petitioner represents that she presently is, or within 90 days of the date of judgment will become, duly authorized to serve as guardian(s)/conservator(s) of Autumn Walton's estate under the laws of the Commonwealth of Virginia. No payments pursuant to this Stipulation shall

be made until petitioner provides the Secretary with documentation establishing her appointment as guardian(s)/conservator(s) of Autumn Walton's estate. If petitioner is not authorized by a court of competent jurisdiction to serve as guardian(s)/conservator(s) of the estate of Autumn Walton at the time a payment pursuant to this Stipulation is to be made, any such payment shall be paid to the party or parties appointed by a court of competent jurisdiction to serve as guardian(s)/conservator(s) of the estate of Autumn Walton upon submission of written documentation of such appointment to the Secretary.

17. In return for the payments described in paragraphs 8 and 12, petitioner, in her individual capacity and as legal representative of Autumn Walton, on behalf of herself, Autumn Walton, and her heirs, executors, administrators, successors or assigns, does forever irrevocably and unconditionally release, acquit and discharge the United States and the Secretary of Health and Human Services from any and all actions or causes of action (including agreements, judgments, claims, damages, loss of services, expenses and all demands of whatever kind or nature) that have been brought, could have been brought, or could be timely brought in the Court of Federal Claims, under the National Vaccine Injury Compensation Program, 42 U.S.C. § 300aa-10 et seq., on account of, or in any way growing out of, any and all known or unknown, suspected or unsuspected personal injuries to or death of Autumn Walton resulting from, or alleged to have resulted from, the flu vaccination administered on October 11, 2010, as alleged by petitioner in a petition for vaccine compensation filed on or about December 6, 2013, in the United States Court of Federal Claims as petition No. 13-965V.

18. If Autumn Walton should die prior to entry of judgment, this agreement shall be voidable upon proper notice to the Court on behalf of either or both of the parties.

19. If the special master fails to issue a decision in complete conformity with the terms of this Stipulation or if the Court of Federal Claims fails to enter judgment in conformity with a decision that is in complete conformity with the terms of this Stipulation, then the parties' settlement and this Stipulation shall be voidable at the sole discretion of either party.

20. This Stipulation expresses a full and complete negotiated settlement of liability and damages claimed under the National Childhood Vaccine Injury Act of 1986, as amended, except as otherwise noted in paragraph 12 above. There is absolutely no agreement on the part of the parties hereto to make any payment or to do any act or thing other than is herein expressly stated and clearly agreed to. The parties further agree and understand that the award described in this Stipulation may reflect a compromise of the parties' respective positions as to liability and/or amount of damages, and further, that a change in the nature of the injury or condition or in the items of compensation sought, is not grounds to modify or revise this agreement.

21. Petitioner hereby authorizes respondent to disclose documents filed by petitioner in this case consistent with the Privacy Act and the routine uses described in the National Vaccine Injury Compensation Program System of Records, No. 09-15-0056.


22. This Stipulation shall not be construed as an admission by the United States or the Secretary of Health and Human Services that the flu vaccine caused Autumn Walton to suffer narcolepsy and cataplexy or any other injury or condition.

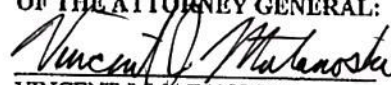
23. All rights and obligations of petitioner hereunder shall apply equally to petitioner's heirs, executors, administrators, successors, and/or assigns as legal representatives of Autumn Walton.

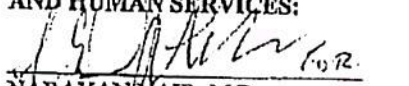
END OF STIPULATION

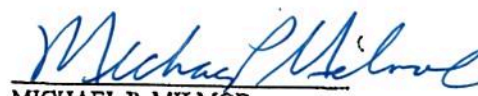
Respectfully submitted,

**PETITIONER:**  
  
LAWR-ALEA WALTON

**ATTORNEY OF RECORD FOR PETITIONERS:**  
  
KARL J. POTRIL, ESQ.  
Shulman, Rogers, Gandai, Pordy & Ecker, P.A.  
12505 Park Potomac Ave., 6<sup>th</sup> Floor  
Potomac, MD 20854  
Tel: (301) 230-6571

**AUTHORIZED REPRESENTATIVE OF THE ATTORNEY GENERAL:**  
  
VINCENT J. MATANOSKI  
Deputy Director  
Torts Branch  
Civil Division  
U.S. Department of Justice  
P.O. Box 146  
Benjamin Franklin Station  
Washington, DC 20044-0146

**AUTHORIZED REPRESENTATIVE OF THE SECRETARY OF HEALTH AND HUMAN SERVICES:**  
  
NARAYAN NAIR, M.D.  
Acting Director, Division of Injury  
Compensation Programs  
Healthcare Systems Bureau  
U.S. Department of Health  
and Human Services  
5600 Fishers Lane  
Parklawn Building, Mail Stop 08N146B  
Rockville, MD 20857

**ATTORNEY OF RECORD FOR RESPONDENT:**  
  
MICHAEL P. MILMOE  
Senior Trial Counsel  
Torts Branch  
Civil Division  
U.S. Department of Justice  
P.O. Box 146  
Benjamin Franklin Station  
Washington, DC 20044-0146  
Tel: (202) 616-4125

Dated: March 4, 2016